



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport

South Wales NP10 8QQ	RECEIVED 27 FEB 2004
WIPO	PCT

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Stephen Hordley

Dated

27 November 2003

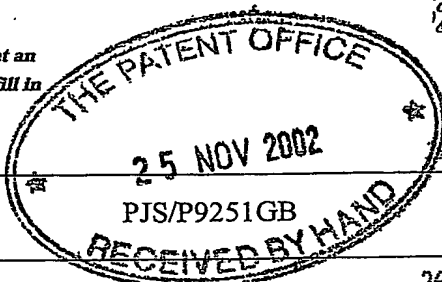
BEST AVAILABLE COPY



1/77

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



25 NOV 2002

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

0227435.5

2. Patent application number

(The Patent Office will fill in this part)

26NOV02 E766081-1 D00571
P01/7700 0.00-0227435.5

3. Full name, address and postcode of the or of each applicant (underline all surnames)

THE TECHNICAL UNIVERSITY OF DENMARK
Anker Engelundsvej 1
2800 Lyngby
Denmark

Patents ADP number (if you know it)

8457836001

If the applicant is a corporate body, give the country/state of its incorporation

Denmark

4. Title of the invention

METABOLICALLY ENGINEERED
MICRO-ORGANISMS HAVING REDUCED
PRODUCTION OF UNDESIRE METABOLIC
PRODUCTS

5. Name of your agent (if you have one)

W. H. Beck, Greener & Co.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

W. H. Beck, Greener & Co.
7 Stone Buildings
Lincoln's Inn
London WC2A 3SZ

Patents ADP number (if you know it)

323001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

22

Claim(s)

3

Abstract

Drawing(s)

CF

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Peter J. Smart

Date 25.11.02

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr. Peter J. Smart - (020) 7405 0921

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Metabolically Engineered Micro-Organisms Having Reduced
Production Of Undesired Metabolic Products

In the metabolic production of low-value added products like
5 ethanol, lactic acid, citric acid, amino acids and many
antibiotics, the yield of product on the substrate, i.e. the
amount of product formed per unit substrate consumed (often
given as kg product formed per kg substrate consumed), and
the productivity, i.e. the amount of product formed per unit
10 reaction volume and per unit time (often given as kg product
formed per m³ reaction volume per hour) are the most
important design variables to optimise. In order to obtain a
high yield and productivity it is necessary to direct the
carbon fluxes from the substrate towards the metabolite of
15 interest at a high rate and at the same time minimize the
formation of all possible by-products. This often requires
engineering of the central carbon metabolism, which is
difficult due to the tight regulation in this part of the
cellular metabolism (Nielsen, 2001).

20

Many fermentation processes have been optimised such that the
desired product is predominantly formed. However, due to the
complexity of cellular metabolism it is inevitable that by-
products are formed. This may be undesirable for at least
25 three reasons:

- The by-product(s) may be toxic to humans or animals.
- The by-product(s) may cause problems in the subsequent
separation process or represent an environmental burden
- Formation of the by-product(s) results in a loss of
30 carbon, and the overall yield of product on the raw
material is therefore below the theoretical maximum.

The first reason is clearly problematic if humans or animals may be exposed to the product, either directly or indirectly. Typically, one chooses a cellular system that does not produce toxins when products are made for human consumption, e.g. fungal cells that do not produce aflatoxins are used for production of food grade enzymes, and *E. coli* strains that do not produce endotoxins are used for production of pharmaceuticals. The second reason may especially be problematic if the by-product has properties very similar to those of the desired product, since separation of the active product then requires very efficient separation principles, which are often costly. In some cases the by-product may also cause other types of problems, e.g. inactivation of the desired product. Problems with loss of carbon in the by-product is mainly a problem in connection with the production of low-value added products like ethanol, citric acid, amino acids and many antibiotics.

By way of example, a major problem in connection with ethanol production by anaerobic fermentation of *S. cerevisiae* is a substantial formation of glycerol as a by-product. Under anaerobic growth conditions cytosolic NADH formed from biomass formation can only be reconverted to NAD^+ via glycerol formation (van Dijken and Scheffers, 1986). There are two genes, *GPD1* and *GPD2*, encoding glycerol-3-phosphate dehydrogenase that regenerates NAD^+ from NADH while converting dihydroxyacetone-phosphate to glycerol-3-phosphate. Disruption of *GPD2* results in some reduction of glycerol formation, but the specific growth rate is also significantly reduced (Valadi et al., 1998; Nissen et al., 2000a). A double *gpd1gpd2* deletion mutant strain is not able to grow at anaerobic conditions, and introduction of a new

pathway to regenerate NAD^+ was therefore attempted by expressing a bacterial transhydrogenase (catalysing: $\text{NADH} + \text{NADP}^+ \rightleftharpoons \text{NAD}^+ + \text{NADPH}$) of *Azotobacter vinelandii* in a double *gpd1gpd2* mutant strain (Nissen et al., 2000a). Expression of the transhydrogenase could, however, not restore growth under anaerobic conditions. Enhanced expression of a transhydrogenase is disclosed also in EP-A-0733712.

WO99/46363 report on the expression of a phosphorylating dehydrogenase resulting in a net transhydrogenase activity in living cells with the aim to improve the product formation. Thus, through over-expression of *GDH2*, encoding a phosphorylating NADH-dependent glutamate dehydrogenase (EC 1.2.1.12), they attempted to increase the ethanol formation from xylose and glucose. Another attempt using the same concept was to express a fungal NADPH dependent glyceraldehydes dehydrogenase as described by Verho et al. (2002). However, during growth on xylose the recombinant cells also produced substantially more xylitol.

20

In another approach to improve ethanol production and decrease glycerol formation Nissen et al. (2000b) engineered the ammonia-assimilation in *S. cerevisiae*. Through disruption of *GDH1*, encoding NADPH-dependent glutamate dehydrogenase, and over-expression of *GDH2* the production of NADH in association with biomass synthesis was reduced significantly resulting in a more than 40% reduction of the glycerol yield (Nissen et al., 2000b). Furthermore, through over-expression of the GS-GOGAT pathway for ammonia assimilation, which is also NADH-dependent in *S. cerevisiae*, both a reduction in the glycerol yield and an increase in the ethanol yield was obtained (Nissen et al., 2000b). This

30

increase in ethanol yield is due to the additional consumption of ATP in the GS-GOGAT pathway. This example illustrates that redirection of the fluxes through the central carbon metabolism can be obtained through engineering other parts of the metabolism, particularly through modulation of the redox metabolism, and this approach is likely to function also for improving the production of other products as the supply of NADPH for biosynthesis is often limiting the capacity for production. While NADPH is almost exclusively used as electron donor in biosynthesis in the cell, NADH is primarily used for generation of free energy (often in the form of high-energy phosphate-bonds in ATP). Under some conditions, such as anaerobic growth of *S. cerevisiae* on a fermentable sugar, surplus amounts of NADH are formed which cannot be used in generation of ATP, and this results in the formation of by-products, primarily glycerol.

Valverde et al (1999) discloses an *E. coli* strain engineered to express cDNA containing the *Pisum sativum* *GapN* gene which encodes the non-phosphorylating glyceraldehyde-3-phosphate dehydrogenase GAPN or GAPDHN (EC 1.2.1.9). The strain has its native *Gap-2* gene encoding its NAD-dependent phosphorylating glyceraldehyde-3-phosphate dehydrogenase GAPDH disabled by an insertion. It is found that the expression of GAPN re-establishes the ability of the strain to grow aerobically on sugars, but the strain is still unable to perform anaerobic fermentation.

The present invention now provides a metabolically engineered micro-organism having an operative first metabolic pathway in which a first metabolite is transformed into a second

metabolite in a reaction in which NAD is a cofactor for a first enzyme, said reaction step producing NADH, and in which said second metabolite is transformed into at least one further metabolite in a reaction catalysed by a second enzyme, and having an operative second metabolic pathway characterised by an enzyme activity in excess of a native level in respect of a third enzyme catalysing a non-reversible reaction in which NADP is a cofactor and NADPH is a product and in which said first metabolite is transformed into a said further metabolite without the involvement of said second enzyme.

In a micro-organism of the invention as described above, said first metabolic pathway is preferably a native pathway.

In certain preferred embodiments, said first enzyme is a phosphorylating dehydrogenase.

In certain preferred embodiments, including those referred to immediately above, said second enzyme is a kinase.

In certain preferred embodiments, including those referred to in the two paragraphs immediately above, said third enzyme is a non-phosphorylating dehydrogenase, for instance said third enzyme is GAPN (EC 1.2.1.9).

An example of a phosphorylating dehydrogenase first enzyme is GAPDH (EC 1.2.1.12).

In certain preferred embodiments, including all those referred to above, at least one copy of a genetic sequence encoding said third enzyme has been recombinantly introduced

into said organism.

Preferably, a genetic sequence encoding said third enzyme is operatively linked to an expression signal not natively
5 associated with said genetic sequence in said organism.

The micro-organism of the invention may preferably be a yeast. This may be an ethanol producing fermenting yeast. It may be a strain of *Saccharomyces cerevisiae*.

10

More generally, the micro-organism may be a species belonging to the genus *Saccharomyces*, e.g. *S. cerevisiae*, *S. kluyveri*, *S. bayanus*, *S. exiguus*, *S. sevazzi*, *S. uvarum*, a species belonging to the genus *Klyuveromyces*, e.g. *K. lactis* *K. marxianus* var. *marxianus*, *K. thermotolerans*, a species
15 belonging to the genus *Candida*, e.g. *C. utilis* *C. tropicalis*, a species belonging to the genus *Pichia*, e.g. *P. stipidis*, *P. pastoris*, *P. sorbitophila*, or other yeast species, e.g. *Debaromyces hansenii*, *Hansenula polymorpha*,
20 *Yarrowia lipolytica*, *Zygosaccharomyces rouxii* or *Schizosaccharomyces pombe*.

Concerning other micro-organisms (non-yeast), a non-exhaustive list of suitable micro-organisms will include the
25 following:

Escherichia coli, *Corynebacterium glutamicum*, *Aspergillus niger*, *Aspergillus awamori*, *Aspergillus oryzae*, *Aspergillus nidulans*, *Penicillium chrysogenum*, *Rhizopus oryzae*.

30 The invention includes a genetically transformed micro-organism containing one or more copies of an heterologous DNA sequence encoding GAPN operatively associated with an

expression signal and having a functional native or heterologous expression capability for GAPDH (EC 1.2.12).

5 In a further aspect, the invention includes a method of producing a desired metabolic product with decreased production of an undesired metabolic product, comprising culturing a micro-organism of the invention as described above. The undesired metabolic product may be glycerol, acetate or an amino acid, but it may also be any other
10 metabolite secreted by micro-organisms.

The desired product may be ethanol, lactic acid, citric acid, an amino acid or an antibiotic.

15 The invention will therefore be useful for the improvement of production of metabolites other than ethanol in micro-organisms. Thus, Porro et al. (1999) describe production of lactic acid in yeast through deletion of pyruvate decarboxylase activity and expression of a heterologous
20 activity of lactate dehydrogenase. In the conversion of pyruvate to lactate there is regeneration of NAD^+ as is the case in the overall conversion of pyruvate to ethanol. The overall conversion of a sugar to lactic acid therefore has high similarity with the conversion of a sugar to ethanol,
25 and the invention will consequently have a positive effect on lactic acid production.

In the production of citric acid by the filamentous fungus *Aspergillus niger* there is a net formation of NADH in the
30 conversion of sugar to citric acid, i.e. NADH is generated at the location of glyceraldehydes-3-P dehydrogenase and pyruvate dehydrogenase. Through expression of GAPN it will be

possible to replace part of the net formation of NADH with a net formation of NADPH, which is needed for protein synthesis. Hence, sugar that may otherwise be used to generate redox power in the form of NADPH, e.g. through the pentose phosphate pathway where sugar is converted to carbon dioxide with parallel formation of NADPH, may be redirected towards formation of citric acid resulting in a higher yield of the product on the sugar. Similar reasoning will hold for the production of other metabolites like succinic acid and malic acid.

In the production of many amino acids, e.g. lysine by *Corynebacterium glutamicum*, the amino acid is derived from precursor metabolites of the central carbon metabolism. Thus, in bacteria lysine is derived from oxaloacetate, which again is derived from pyruvate or phosphoenolpyruvate. In the conversion of sugar to the precursor metabolite there is a net production of NADH and the overall conversion of sugar to lysine therefore involves a net production of NADH. In the conversion of oxaloacetate to lysine there is a net consumption of NADPH (in some cases indirectly through the use of glutamate which needs to be regenerated from 2-oxoglutarate with expenditure of NADP). Expression of GAPN may therefore lead to a reduced net formation of NADH and a reduced net consumption of NADPH in the overall conversion of sugar to lysine. Similar reasoning will hold for the synthesis of other amino acids, e.g. isoleucine, threonine and phenylalanine.

In the production of many antibiotics, e.g. penicillin by *Penicillium chrysogenum*, there is also a net production of NADH and a net consumption of NADPH in the overall conversion

of sugar to antibiotics. For these processes expression of GAPN will therefore also be beneficial.

The enhanced expression of GAPN may be beneficial in combination with modulated (enhanced or suppressed) expression or activity of one or more other enzymes. Mention has been made above of interaction between GAPN expression and expression of lactate dehydrogenase in yeast. Generally, the invention may be used to improve the metabolism of pentose sugars. The invention may be used to improve xylose uptake and to reduce xylitol secretion. In many microorganisms xylose metabolism involves xylose reductase (XR), which converts xylose to xylitol, xylitol dehydrogenase (XDH), which converts xylitol to xylulose, and finally xylulose kinase that phosphorylates xylulose to xylulose-5-phosphate which enters the pentose phosphate pathway. XR involves formation of NADPH (the enzyme may use both NAD^+ and NADP^+ as co-factor, but it has preference for NADP^+) whereas XDH involves consumption of NADH. There is therefore a net consumption of NADPH and a net formation of NADH upon xylose uptake. Thus, increased GAPN expression may, if it is linked with expression of xylose reductase, xylose dehydrogenase, and xylitol kinase, result in an increased xylose uptake.

25

Expression of GAPN or another said third enzyme may be provided for by the introduction into a micro-organism of one or more copies of a DNA coding sequence for the enzyme either with an heterologous promoter or placed under the control of an native promoter sequence. Suitably, the coding sequence and an effective expression signal therefore is introduced in a multi-copy plasmid.

30

In preferred embodiments, this invention specifically targets the problem of production of surplus of NADH in functioning cells and the problem with a limited supply of NADPH. The
5 cells are enabled to increase the formation of NADPH at the cost of NADH formation. This is done for example, by expression of a non-phosphorylating, NADP⁺-dependent glyceraldehyde-3-phosphate dehydrogenase (GAPN) (EC 1.2.1.9) in a cell, as a means to alter the redox metabolism in the
10 cell.

GAPN catalyses the irreversible oxidation of glyceraldehyde-3-phosphate and NADP⁺ into 3-phosphoglycerate and NADPH. In most cells the conversion of glyceraldehyde-3-phosphate into
15 3-phosphoglycerate is only catalysed by the sequential action of two enzymes, i.e. NAD⁺-dependent glyceraldehyde-3-phosphate dehydrogenase (GAPDH) (EC 1.2.1.12) and phosphoglycerate kinase (PGK) (EC 2.7.2.3) by conversion of NAD⁺ and ADP into NADH and ATP (see figure 1). The net
20 stoichiometry of the routes converting glyceralde-3-P into 3-P-glycerate are:

GAPN: glyceraldehyde-3-phosphate + NADP⁺ → 3-phosphoglycerate + NADPH
25 **GAPDH + PGK:** glyceraldehyde-3-phosphate + NAD⁺ + ADP + P
= 3-phosphoglycerate + NADH + ATP

The reaction catalysed by GAPN thereby yields one NADPH instead of one NADH and one ATP when comparing with the total
30 reaction catalysed by GAPDH and PGK.

The conversion of glyceraldehyde-3-phosphate into 3-phosphoglycerate is part of the glycolysis, which is the main energy-yielding pathway, and the reaction is therefore always active when a cell is growing on hexose or pentose containing substrates.

By controlling the amount of *gapN* expressed in the microbial cell control can be exercised over how large a part of the conversion of glyceraldehyde-3-phosphate into 3-phosphoglycerate should go through GAPN. Thereby the redox metabolism can be manipulated by controlling the amount of one NADPH formed at the expense of one NADH and ATP.

To illustrate this in the Examples below, a nucleotide sequence containing the non-phosphorylating, NADP^+ -dependent glyceraldehyde-3-phosphate dehydrogenase gene (*gapN*) from *Streptococcus mutans* was expressed in *S. cerevisiae* on a multicopy plasmid. The resulting strain was characterised in anaerobic batch cultivations on the hexose glucose - a typical method for ethanol production. NADP^+ -dependent glyceraldehyde-3-phosphate dehydrogenase activity was determined in both the *gapN* strain and in a reference strain carrying the empty plasmid. Activity of GAPN could only be measured in the *gapN* strain and the activity was approximately 10% of that of the NAD^+ -dependent glyceraldehyde-3-phosphate dehydrogenase activity.

The growth rate of the *gapN* strain was not affected by the expression of GAPN activity when comparing to the strain containing the empty plasmid. The *gapN* strain produced 43% less glycerol and 3% more ethanol.

Glycerol is formed by *S. cerevisiae* during anaerobic growth to maintain the cytosolic redox balance. Under anaerobic conditions NADH, produced as a result of production of biomass and organic acids, can only be oxidised to NAD⁺ by formation of glycerol, since respiration is not possible and the formation of ethanol is a redox-neutral process. The formation of glycerol is therefore a redox problem, so by introducing *gapN* into *S. cerevisiae* the production of glycerol will be reduced by one molecule for each molecule of glyceraldehyde-3-phosphate that is converted via GAPN. By having a flux through GAPN that is high enough the production of glycerol can be completely eliminated and the flux redirected to ethanol and/or biomass thereby increasing the ethanol yield.

By increasing the amount of NADPH for biosynthesis by expressing *gapN* in a cell it can potentially be possible to increase the production of a product such as protein where the supply of NADPH might be limiting.

20

Advantages of the exemplified approach include:

- Larger changes in yields can be achieved.
- Only one genetic change is required.
- The activity of the enzyme only affects the specific reaction it catalysed and the redox metabolism - there are no effects on other parts of metabolism and therefore the growth rate is not affected.
- By-production of glycerol in production of ethanol with yeast can be eliminated.

30

Example 1 Expression of GAPN in *S. cerevisiae*

Strains: *S. cerevisiae* (M4054, S288C MATa *ura3 gap1*) was used for construction of a reference strain and GAPN strain. For long-term maintenance plasmid bearing strains were grown to stationary phase in shake flask cultures on minimal media (see below). After addition of sterile glycerol to a concentration of 20% (vol/vol), aliquots were stored at -80°C. These frozen stocks were used for obtaining single colonies on plates with a minimal medium (Verduyn et al., 1990), which were stored at 4°C, and used within 2 weeks for inoculation of precultures.

Construction of reference strain: The empty pYX212 2µ high-copy vector containing the *URA3* gene and the *TPI1* promoter was transformed into *S. cerevisiae* (M4054) by electroporation.

Construction of GAPN strain: *gapN* was expressed on a pYX212 2µ high-copy vector containing the *URA3* gene and the *TPI1* promoter. The plasmid was constructed directly in *S. cerevisiae* (M4054) by cotransformation and homologous recombination between *EcoRI* digested pYX212 and PCR-amplified *gapN* from *Streptococcus mutans*. PCR was performed on genomic DNA from *Streptococcus mutans* using Expand High Fidelity (Roche) and one primer identical to the *TPI1* promoter in pYX212 plus the first part of *gapN* (gapN-START-EcoRI-TPI

promoter 5'-CTA CAA AAA ACA CAT ACA GGA ATT CAT GAC AAA ACA
ATA TAA AAA TTA TG) and a second primer (gapN-STOP-NcoI-
BamHI-AvrII-ApaI 5'-GGG CCC TAG GAT CCA TGG TGA ATT TTA TTA
TTT GAT ATC AAA TAC GAC GG) identical to the MCS of pYX212
5 and the last part of *gapN* including the stop codon. Hence the
ORF of *gapN* has been cloned between *EcoRI* and *NcoI* side in
pYX212, down stream the *TPII* promoter. The original start
codon TTG was substituted with an ATG, to make translation in
S. cerevisiae possible. Construction was verified by
10 diagnostic PCR.

Example 2 Shake flask cultivations and precultures: Aerobic
shake flask cultivations were performed in baffled, cotton-
stopped, 500 ml Erlenmeyer flasks to screen transformants
15 obtained. Precultures for anaerobic batch cultivations were
grown in similar flasks but without baffles. These flasks
contained each 100 ml of a defined mineral medium containing
7.5 g/L $(\text{NH}_4)_2\text{SO}_4$; 14 g/L KH_2PO_4 ; 0.5 g/L $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$; 50 $\mu\text{l/L}$
antifoam (Sigma A-8436); 2% (w/vol) glucose; trace metals (15
20 mg/L EDTA; 4.5 mg/L $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$; 0.84 mg/L $\text{MnCl}_2 \cdot 2\text{H}_2\text{O}$; 0.30
mg/L $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$; 0.30 mg/L $\text{CuSO}_4 \cdot \text{H}_2\text{O}$; 0.40 mg/L $\text{Na}_2\text{MoO}_4 \cdot$
 $2\text{H}_2\text{O}$; 4.5 mg/L $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$; 3.0 mg/L $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$; 1.0 mg/L
 H_3BO_3 ; and 0.10 mg/L KI) and vitamins (0.05 mg/L D(-)biotin;
1.0 mg/L Ca D(+)panthotenate; 1.0 mg/L nicotinic acid; 25

mg/L myo-inositol; 1.0 mg/L thiamine chloride hydrochloride; 1.0 mg/L pyridoxol hydrochloride; and 0.20 mg/L *p*-aminobenzoic acid). The pH of the mineral medium was set to 6.5 with NaOH and autoclaved separately from the glucose solution. After autoclavation the vitamin solution was added to the flasks by sterile filtration. Shake flasks and precultures were inoculated with a single colony from plate cultures a grown at 30°C and 150 rpm. Precultures were grown to exponential phase and used for inoculation of anaerobic batch cultivations to a start concentration of 1 mg CDW/L. Growth of both the reference and the GAPN-strain was observed.

Example 3 Anaerobic batch cultivations: Cultivations were carried out in well-controlled laboratory fermentors (B. Braun Biotech, Germany) with a working volume of 2 litres. A defined mineral medium (Verduyn et al., 1990) was used, which contained per litre: 40 g glucose; 5.0 g (NH₄)₂SO₄; 3.0 g KH₂PO₄; 0.5 g MgSO₄, 7H₂O; and trace metals and vitamins as described in shake flask cultivations and precultures. 300 µl/L antifoam (Sigma A-8436) was added to avoid foaming and the medium was supplemented with 420 mg/L Tween 80 and 10 mg/L ergosterol, which is necessary for anaerobic growth of *S. cerevisiae*. The glucose solution was autoclaved separately

from the mineral medium and afterwards added to the fermentor together with a sterile filtrated solution containing the vitamins and together with the Tween 80 and ergosterol, which first were dissolved in boiling pure ethanol.

5 Cultivations were carried out at 30°C with a stirrer speed of 600 rpm and were flushed with nitrogen gas at a flow rate of 400 ml per minute. To minimise the diffusion of O₂ into the cultures, the bioreactors were fitted with Norprene tubing. The concentration of dissolved oxygen was measured with
10 Mettler Toledo polarographic electrode and remained below the detection limit. pH was kept at 5.0 by automatic addition of 4 M KOH. The bioreactors were fitted with cooled condensers, and the off-gas was led to a gas analyser (INNOVA, Denmark) to measure the content of CO₂. The biomass concentration
15 during fermentation with the reference and GAPN strains are shown in Figure 2. It is found that the maximum specific growth rate of the GAPN strain is identical with that of the reference strain.

20 **Example 4 Analysis of extracellular metabolites:** Culture samples for determination of glucose, ethanol, glycerol, acetate, pyruvate and succinate concentrations were filtered through a 0.45 µm cellulose acetate filter (Osmonics) immediately after sampling, and the filtrate was frozen at -

20°C until further analysis. The concentrations of the metabolites were determined by high-pressure liquid chromatography on an Aminex HPX-87Hm column (Bio-Rad) kept at 65°C and eluted at 0.6 ml per minute with 5 mM H₂SO₄. Acetate and pyruvate were detected spectrophotometrically by a Waters 486 Turnable Absorbance Detector at 210 nm. Glucose, ethanol, glycerol and succinate were detected refractometrically by a Waters 410 Differential Refractometer. Measurement of the metabolites during anaerobic fermentations is shown in Figure 3. The final concentrations of the metabolites are listed below. It is seen that the GAPN strain produces more ethanol and less glycerol.

15

	Ethanol (g/L)	Glycerol (g/L)	Pyruvate (g/L)
Reference strain	14,90	2,81	0,061
GAPN strain	17,05	2,06	0,066

Based on the measurements of glucose the overall conversion yields to the different metabolites were calculated and they are given below.

20

	Ethanol (g/g glc)	Glycerol (g/g glc)	Pyruvate (g/g glc)
Reference strain	0,392	0,078	0,0013
GAPN strain	0,403	0,0463	0,0012

It is observed that the ethanol yield is improved 3% and the glycerol yield is reduced by 40%.

5 **Example 5 Measurement of enzyme activities:** Cell free extracts were produced with the help of a Fastprep FP120 instrument (Savant Instruments, New York) as described by Møller et al. (2002).

Enzyme activities were assayed at 30°C by following the NADPH
10 or NADH production at 340 nm using a spectrophotometer (HP 8353 UV-VIS system with Chemstation software from Hewlett Packard). Glyceraldehyde-3-phosphate dehydrogenase activity was determined as described by Crow and Wittenberger (1979) in a 1 ml reaction mixture activity. For determination of
15 non-phosphorylating, NADP⁺-dependent glyceraldehyde-3-phosphate dehydrogenase activity the reaction mixture contained: 125 mM triethanolamine/HCl buffer (pH 8.3), 1 mM NADP⁺, 5 mM 2-mercaptoethanol, and cell free extract. NAD⁺-dependent glyceraldehyde-3-phosphate dehydrogenase activity

was determined with a reaction mixture containing: 125 mM triethanolamine/HCl buffer (pH 8.3), 1 mM NAD⁺, 5 mM cystein/HCl, and cell free extract. The reactions were started by adding DL-glyceraldehyde-3-phosphate (prepared from DL-glyceraldehyde-3-phosphate diethyl acetal, Sigma G-5376) to a final concentration of 2 mM. Protein content in cell free extracts was determined by the Lowry method, using fatty-acid free BSA (Sigma A-6003) as standard. Results from analysis of the enzyme activity are given below. It is seen that in the reference strain there is no activity of a NADP-dependent glyceraldehydes dehydrogenase whereas in the GAPN strain there is some low activity (accounting for about 10% of the total glyceraldehydes dehydrogenase activity).

	Reference strain	GAPN strain
NAD-dependent glyceraldehyde dehydrogenase	11,2 ± 0,74	7,03 ± 0,71
NADP-dependent glyceraldehyde dehydrogenase	0	0,65 ± 0,03

In this specification, unless expressly otherwise
5 indicated, the word 'or' is used in the sense of an operator
that returns a true value when either or both of the stated
conditions is met, as opposed to the operator 'exclusive or'
which requires that only one of the conditions is met. The
word 'comprising' is used in the sense of 'including' rather
10 than in to mean 'consisting of'.

References:

- Nielsen, J. (2001). Metabolic engineering. Appl. Microbiol. Biotechnol. 55, 263-283.
- 5 Nissen, T.L., Hamann, C.W., Kielland-Brandt, M.C., Nielsen, J., and Villadsen, J. (2000a). Anaerobic and aerobic batch cultivations of *Saccharomyces cerevisiae* mutants impaired in glycerol synthesis. Yeast 16, 463-474.
- Nissen, T.L., Kielland-Brandt, M.C., Nielsen, J., and
10 Villadsen, J. (2000b). Optimization of ethanol production in *Saccharomyces cerevisiae* by metabolic engineering of the ammonium assimilation. Metabol. Eng. 2, 69-77.
- Porro, D., Bianchi, M.M., Brambilla, L., Menghini, R., Bolzani, D., Carrera, V., Lievenze, J., Liu, C.L., Ranzi, B.M.,
15 Frontali, L., and Alberghina, L. (1999). Replacement of a metabolic pathway for large-scale production of lactic acid from engineered yeasts. Appl. Environ. Biotechnol. 65, 4211-4215.
- Valadi, H., Larsson, C., and Gustafsson, L. (1998). Improved
20 ethanol production by glycerol-3-phosphate dehydrogenase mutants of *Saccharomyces cerevisiae*. Appl. Microbiol. Biotechnol. 50, 434-439.
- Valverde, F., Losada, M., and Serrano, A. (1999). Engineering a central metabolic pathway: glycolysis with no net
25 phosphorylation in an *Escherichia coli* gap mutant complemented with a plant GapN gene. FEBS Lett. 449, 153-158.

Van Dijken, J.P. and Scheffers, W.A. (1986). Redox balances in the metabolism of sugars by yeasts. FEMS Microbiol. Rev. 32, 199-224.

5 Verduyn, C., Postma, E., Scheffers, W.A., and van Dijken, J.P. (1990). Physiology of *Saccharomyces cerevisiae* in anaerobic glucose-limited chemostat cultures. J. Gen. Microbiol. 136, 395-403.

10 Verho, R., Richard, P., Jonson, P. H., Sundqvist, L., Londesborough, J., and Penttilä, M. (2002) Identification of the first fungal NADP-GAPDH from *Kluyveromyces lactis*. Biochem. 41, 13833-13838

CLAIMS

1. A metabolically engineered micro-organism having an operative first metabolic pathway in which a first
5 metabolite is transformed into a second metabolite in a reaction in which NAD is a cofactor for a first enzyme, said reaction step producing NADH, and in which said second metabolite is transformed into at least one further metabolite in a reaction catalysed by a second
10 enzyme, and having an operative second metabolic pathway characterised by an enzyme activity in excess of a native level in respect of a third enzyme catalysing a non-reversible reaction in which NADP is a cofactor and NADPH is a product and in which said first
15 metabolite is transformed into a said further metabolite without the involvement of said second enzyme.
2. A micro-organism as claimed in claim 1, wherein said
20 first metabolic pathway is a native pathway.
3. A micro-organism as claimed in any preceding claim,
25 ~~wherein said first enzyme is a phosphorylating~~ dehydrogenase.
4. A micro-organism as claimed in claim 1 or claim 2,
wherein said second enzyme is a kinase.
5. A micro-organism as claimed in claim 3, wherein said
30 third enzyme is a non-phosphorylating dehydrogenase.

6. A micro-organism as claimed in claim 5, wherein said third enzyme is GAPN (EC 1.2.1.9).
7. A micro-organism as claimed in claim 6, wherein said first enzyme is GAPDH (EC 1.2.1.12).
8. A micro-organism as claimed in any preceding claim, wherein at least one copy of a genetic sequence encoding said third enzyme has been recombinantly introduced into said organism.
9. A micro-organism as claimed in any preceding claim, wherein a genetic sequence encoding said third enzyme is operatively linked to an expression signal not natively associated with said genetic sequence in said organism.
10. A micro-organism as claimed in any preceding claim which is a yeast.
11. A micro-organism as claimed in claim 10, which is a micro-organism belonging to the genus *Saccharomyces*, *Kluveromyces*, *Candida*, *Pichia*, *Debaromyces*, *Hansenula*, *Yarrowia*, *Zygosaccharomyces* or *Schizosaccharomyces*.
12. A micro-organism as claimed in claim 10, which is a strain of *Saccharomyces cerevisiae*, *S. kluyveri*, *S. bayanus*, *S. exiguus*, *S. sevazzi*, *S. uvarum*, *Kluveromyces lactis*, *K. marxianus* var. *marxianus*, *K. thermotolerans*, *Candida utilis*, *C. tropicalis*, *Pichia stipidis*, *P. pastoris*, *P. sorbitophila*, *Debaromyces hansenii*, *Hansenula polymorpha*, *Yarrowia lipolytica*,

Zygosaccharomyces rouxii or *Schizosaccharomyces pombe*..

13. A genetically transformed micro-organism containing one
or more copies of an heterologous DNA sequence encoding
5 GAPN operatively associated with an expression signal
and having a functional native or heterologous
expression capability for GAPDH (EC 1.2.12).
14. A method of producing a desired metabolic product with
10 decreased production of an undesired metabolic product,
comprising culturing a micro-organism as claimed in any
preceding claim. .
15. A method as claimed in claim 14, wherein the desired
15 product is ethanol, lactic acid, citric acid, an amino
acid or an antibiotic.
16. A method as claimed in claim 14 or claim 15, wherein
said undesired metabolic product is glycerol, acetate
20 or an amino acid. .

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☒ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.